



Comptroller of the Currency
Administrator of National Banks

Washington, DC 20219

<p style="text-align: center;">Course Description Internet Banking for Foreign Bank Supervisors September 27-October 1, 2004</p>

Audience:

The school is based on an existing course OCC gives to their own examiners with modifications to make the school relevant to non-US banking supervisors. Technology expertise is NOT a pre-requisite.

Course Objectives:

- To increase participants' understanding of the technical components that may be part of an Internet banking system,
- To enable participants to identify risks associated with Internet banking activities, and
- To enable participants to determine the impact that Internet banking services have on the overall risk profile of a bank.

Course Topics:

- INTRODUCTION
- SUPERVISION BY RISK
- INTERNET COMPONENTS AND INFORMATION FLOW
- SUPERVISORY RISK AND INTERNET BANKING
- SUPERVISORY RISK AND INTERNET BANKING - TRANSACTION RISK
- EVOLVING ISSUES
- *CAMELS* AND THE UNIFORM RATING SYSTEM FOR INFORMATION TECHNOLOGY
- INTERNET BANK EXAMINATION ADMINISTRATION

Pre-Course Reading Materials

Please read the Basel Committee on Banking Supervision's "**Risk Management Principles for Electronic Banking.**"(<http://www.occ.treas.gov/ftp/release/2001-42a.pdf>).

In addition, the students may find it beneficial to review the following OCC Issuances prior to the class. They are available on the web at <http://www.occ.treas.gov/netbank/ebguide.htm>.

E- Banking Handbook (published August 2003)

OCC Bulletins

- 2003-15 Weblinking: Interagency Guidance on Weblinking Activity
- 2002-23 E-Banking Final Rule
- 2002-16 Bank Use of Foreign-Based Third Party Providers
- 2002-2 ACH Transactions Involving the Internet: Guidance and Exam Procedures
- 2001-47 Third-Party Relationships: Risk Management Principles
- 2001-12 Bank-Provided Account Aggregation Services
- 2001-8 Guidelines Establishing Standards for Safeguarding Customer Information
- 2000-14 Infrastructure Threats - Intrusion Risks
- 99-20 Certificate Authority Guidance
- 98-38 Technology Risk Management: PC Banking
- 98-03 Technology Risk Management

OCC Alerts

- OCC 2001-4 Network Security Vulnerabilities
- OCC 2000-9 Protecting Internet Addresses of National Banks
- OCC 2000-1 Distributed Denial of Service Attacks

OCC Advisory Letters

- AL 2001-8 Authentication in an E-Banking Environment
- AL 2000-12 FFIEC Guidance on Risk Management of Outsourced Technology Services
- AL 99-6 Guidance to National Banks on Web Site Privacy Statements

Class Location: Office of the Comptroller of the Currency
250 E. Street, SW
Washington, D.C. 20219

Cost: The cost for attending this session is \$150 which will need to be paid via credit card, either VISA or Master Card.

Lodging: Participants are responsible for making their own travel and hotel arrangements.